EXHIBIT

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1 1 UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK 3 ____X 4 5 RINALDO RIZZO, 6 PLAINTIFF, Docket: 13 CIV 8664 -against-DF LAND LLC, GLENN DUBIN, individually, EVA 9 10 DUBIN, individually, and BRENDA AMES, individually, 11 12 13 DEFENDANT. 14 15 DEPOSITION of the DEFENDANT, GLENN DUBIN, 16 17 taken by the PLAINTIFF, pursuant to NOTICE, held at the offices of BARRISTER REPORTING SERVICE, INC., 80 18 Broad Street, New York, New York, on July 16th, 2015 19 at 10:03 a.m., before a Notary Public of the State of 20 21 New York. 22 23 24 25

1	G. Dubin
2	Q Is the property in North Salem, New
3	York owned by DF Land?
4	A I don't know the exact legal entity
5	that owns the property.
6	Q What entity employed Mr. Rizzo?
7	A I believe DF Land.
8	Q The purpose of his employment was
9	to manage the North Salem property, right?
10	A That was his primary
11	responsibility, yes.
12	Q Did DF Land have any other purpose,
13	aside from managing the North Salem property?
14	MR. SALINS: Object to the
15	form.
16	A I don't know.
17	Q Who would know that?
18	A My current general counsel.
19	Q During the time that Brenda Ames
20	was employed by your company or by you, would
21	she have known?
22	A Yes.
23	Q As an estate manager, what were
24	Mr. Rizzo's duties?
25	A I think we provided you with a job

1	G. Dubin
2	form.
3	A Not that I recall, other than the
4	fact, again, that he would be available when
5	the family was in residence over weekends.
6	Q When you say oversee the physical
7	property, was that the entire property or is
8	that a subsection of the property?
9	A That's the entire property.
10	Q What does that entail?
11	A That entails overseeing the staff
12	at the property, that entails interacting
13	with service providers to the property, that
1.4	entails being a representative for the family
15	to the community. I think that's generally
16	it.
17	Q The staff that Mr. Rizzo was
L 8	supposed to oversee, who was that staff?
L 9	A There were a couple that were
20	responsible for the horses on the property,
21	Enrique and Sara, and there was a property
22	manager, Don Carr. There was a number of
23	landscaping individuals that worked alongside
24	of Don Carr.
25	Q Did Mr. Rizzo also oversee the work

G. Dubin 1 2 that Guima did? 3 Yes, she was a housekeeper, part-time housekeeper. G-U-I-M-A. 5 Aside from the individuals you just listed, are there any other staff that 6 Mr. Rizzo would have been overseeing? 8 Not that I recall. Α 9 Did you oversee any of the work 10 that the au pairs did? 11 MR. SALINS: Objection. 12 Again, when the family was in 13 residence, we would have au pairs with us typically. 14 15 How many au pairs did the family 16 employ? 17 Α Just one. 18 One at a time? 19 Α Yes. 20 Earlier when we were talking about 21 the number of employees that the different 22 entities had, did you include the au pair in 23 any of those estimates? 24 Α No. 25 Did Mr. Rizzo manage or was he

1	G. Dubin
2	supposed to manage the individuals that you
3	listed as having responsibilities to oversee?
4	MR. SALINS: Object to the
5	form.
6	A Mr. Rizzo was my representative
7	since I wasn't on the property during the
8	week when those other people were there.
9	Q Did he have supervisory authority
10	over Don Carr?
11	A I wouldn't call it supervisory
12	authority, no.
13	Q What about the Reyeses?
14	A No.
15	Q Guima?
16	A I would refer to it more as
17	oversight.
18	Q Ensuring that they did the things
19	that you wanted them to do?
20	A Correct.
21	Q Did Don Carr report to Mr. Rizzo?
22	A No.
23	Q What about the Reyeses?
24	A No.
25	Q Did anybody report directly to

- 1 G. Dubin
- 2 Mr. Rizzo, to your knowledge?
- 3 A No.
- 4 Q Who did Mr. Rizzo report to?
- 5 A Brenda.
- 6 Q Did he report to you also?
- 7 A No.
- 8 Q You said earlier that Mr. Rizzo was
- 9 required to interact with service providers.
- 10 What did that entail?
- 11 A That entails service providers to
- 12 the property.
- 13 O What would that include?
- 14 A Contractors, electricians, tennis
- 15 court preparation, pool, third party
- 16 providers to the estate.
- 17 Q As part of Mr. Rizzo's employment,
- 18 was he required to live on the premises?
- 19 A I wouldn't refer to it as required.
- 20 It was a benefit of the job to live on the
- 21 property.
- 22 Q If he had wanted to live off the
- 23 property, would that have been acceptable?
- 24 A Yes.
- Q Was it part of the negotiation that

1	G. Dubin
2	he move into the house or accept that?
3	A There was no negotiation.
4	Q There was no negotiation of the
5	terms of his employment?
6	A The financial terms of his
7	employment, there was no negotiation about
8	where he would live.
9	Q You said earlier that Mr. Rizzo is
10	required to represent the family to the
11	community. Can you tell me what that means?
12	A Well, again, we are not in
13	residence, so if there was a need to call the
14	town about the road not being plowed, if
15	there was a need to call the town about the
16	electricity being down, Mr. Rizzo's
17	responsibility was to get in touch with the
18	appropriate town supervisor.
19	Q Was Mr. Rizzo authorized to make
20	statements to anyone outside of third party
21	contractors or to get those types of things
22	done on behalf of the family?

A

described.

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24

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Was he permitted to enter into

Nothing other than what I just

1	G. Dubin
2	contracts on behalf of your family, without
3	first gaining approval from you?
4	MR. SALINS: Object to the
5	form.
6	A No.
7	Q Co-sign contracts on behalf of you
8	personally?
9	MR. SALINS: Objection.
10	A Not to my knowledge.
11	Q Co-sign contracts on behalf of DF
12	Land?
13	A Not to my knowledge.
14	Q Who was authorized to do those
15	things?
16	A Brenda Ames.
17	Q When you were discussing job duties
18	that Mr. Rizzo had earlier, you said that he
19	was required to manage staff. Aside from
20	what we have discussed, what you described as
21	overseeing staff, were there any other
22	management duties that Mr. Rizzo had?
23	A No, I would refer to it, I think
24	the more appropriate word was oversee staff,
25	not manage staff.

G. Dubin

- 2 Q Then you said that he was also
- 3 required to provide services to the family.
- 4 What services was he required to provide to
- 5 the family?

- 6 A It could be anything from picking
- 7 up somebody at the train station, to driving
- 8 my daughter to a soccer game, to cooking a
- 9 hamburger for lunch when the chef wasn't
- 10 working.
- 11 Q Anything else?
- 12 A No.
- 13 Q Was he required to clean?
- 14 A No.
- 15 Q Was he required to travel with the
- 16 family at times?
- 17 A Yes.
- 18 Q When he traveled with the family,
- 19 did he have other responsibilities than what
- 20 you have mentioned here?
- 21 A When he traveled with us to
- 22 Florida, the house was under construction and
- 23 so he would interact with the contractor.
- Q Was he also responsible for
- 25 overseeing the contracts with third parties

2	for the Florida property?
3	A No.
4	Q What were his responsibilities in
5	Florida?
6	A As it relates to the construction
7	project in Florida?
8	Q Just whenever he had to travel to
9	Florida, what was he required to do while he
10	was there, as part of his job?
11	A It could be anything, service for
12	the family. It could be, again, cooking a
13	hamburger when the chef was off. It could be
14	driving to the airport to pick somebody up.
15	It could be meeting with a third party
16	service provider to oversee the cutting of

G. Dubin

- 18 Q Was Mr. Rizzo ever required to do
- 19 any cleaning for the family, while they were
- 20 in Florida?

17

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21 A No.

the lawn.

- 22 Q What about any other housekeeping
- 23 duties?
- A No, we have housekeepers there.
- 25 Q If a housekeeper was unable to be

- 1 G. Dubin
- 2 there for a shift, was Mr. Rizzo asked to
- 3 fill in?
- 4 A No.
- 5 Q Never?
- 6 A No.
- 7 Q Was he required to be a server
- 8 during house parties?
- 9 A It is possible.
- 10 Q It is possible or it happened?
- 11 A I don't recall, but certainly that
- 12 would be, in my mind, within his job
- 13 responsibilities.
- 14 Q Did Mr. Rizzo also interact with
- 15 tutors and coaches for your kids?
- MR. SALINS: Objection.
- 17 A No.
- 18 Q Was he required to find tutors and
- 19 coaches for your kids?
- 20 A No.
- 21 Q Was he required to schedule them?
- 22 A He might have been, yes.
- 23 Q He interacted with them to
- 24 schedule?
- 25 A Yes.

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G. Dubin
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 2
          Α
               Yes.
 3
               It is something that would have
 4
     fallen within your understanding of his job
 5
     duties?
 6
                   MR. SALINS: Objection.
 7
               It is something that -- no, it
          Α
 8
     wouldn't be specifically within the job
 9
     duties.
10
               Is it something that you could have
     asked him to do as part of his job though?
11
                   MR. SALINS: Objection.
12
13
          Α
               Possibly, but unlikely.
14
               Was he also required to assist in
15
     preparations for travel, make sure that all
     of the amenities that you required during
16
     your travel were there?
17
18
          Α
               Yes.
19
               Was he responsible for interacting
20
    with the flight crew and staff to make sure
21
     they were ready to go when you were ready to
22
     qo?
               No, that was not his
23
24
    responsibility.
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Whose responsible was that?

G. Dubin 1 2 Yes. Α 3 Did he also provide that type of service in Colorado? 4 5 Α No. 6 Was Mr. Rizzo ever required to travel to Colorado with the family? 8 Α Yes. 9 When he did, what were his duties? 10 Α To assist the ranch manager. When he was there, he reported 11 directly to the ranch manager? 12 13 MR. SALINS: Objection. 14 Α I wouldn't refer to it as 15 reporting, he worked alongside of. 16 Who gave him assignments while he was in Colorado? 17 18 Α The ranch manager, my wife, or myself. 19 20 Do you know what types of duties 21 Mr. Rizzo was required to do in Colorado? 22 Α Yes, similar to what his responsibilities would be in North Salem. 23 24 assist the family, to pick somebody up at the 25 airport if they flew in, to help out with

G. Dubin 1 2 MR. SALINS: Objection. 3 Α Generally. Did anyone else have the ability to 4 give Mr. Rizzo assignments? 5 6 MR. SALINS: Objection. 7 Α Yes. Who else? 0 Α My wife. And Miss Ames, right? 1.0 0 11 Α Yes. 12 Aside from the New York City apartment, Colorado and Florida, was there 13 any other location that Mr. Rizzo was 14 required to travel, as part of his 15 employment? 16 Not that I recall. 17 18 What was your opinion of 19 Mr. Rizzo's work product during the time that he worked as an estate manager for you? 20 A It was subpar. 21 22 From the beginning? Q 23 Α No, it got worse over time. 24 What were the problems that you had with Mr. Rizzo's work product? 25

G. Dubin 1 that I believe you referenced earlier during 2 3 your testimony that described the duties of the estate manager? Yes, the two documents that you 5 Α just showed me, the employment agreement and this document. 8 0 Does this accurately reflect 9 Mr. Rizzo's job duties? 10 Α Yes. Do you know if he was ever asked to 11 do anything that's not on this list? 12 13 MR. SALINS: Objection. 14 It is quite possible that he would 15 have been asked to do something that's not 16 specifically on the list, yes. 17 Do you know what he was asked to 18 do? 19 MR. SALINS: Objection. 20 Α No. 21 Did you ever ask him to do anything 22 that's not on this list? 23 MR. SALINS: Objection.

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MR. ROSE: What is the

I might have.